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ARRY A A A A		2014	Application No.	09/692,6	581
TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Filing Date	October	18, 200BECEIV	
		First Named Inventor	Hui Liu	112021	
			Art Unit	2665	JUL 2 8 20
			Examiner Name	Michael	J. Molinari Technology Cent
Total Number of F	Pages in This Submission	on 29	Attorney Docket Number		03
	ENCLOS	SURES (chec	k all that apply)		
Fee Transmittal	Form	Drawing(s)		Afte to C	er Allowance Communication Group
Fee Attac	thed	Licensing-r	related Papers	☐ APP	peal Communication to Board Appeals and Interferences
Amendment / R	esponse	Petition			peal Communication to Group peal Notice, Brief, Reply Brief)
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		Power of A Change of	ttorney, Revocation Correspondence Address	Sta	tus Letter
Express Abandonment Request		Terminal D	isclaimer		er Enclosure(s) ease identify below):
Information Disclosure Statement		Request for Refund			cited references turn postcard
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Certified Copy of Document(s)	of Priority				
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Response Parts und 1.52 or 1.	e to Missing Ier 37 CFR 53				
	SIGNATURE	OF APPLICAN	NT, ATTORNEY, OR A	GENT	
Firm	Michael J. Mall	ie, Reg. No. 30	6,591		
or Individual name	BLAKELY, S	OKOLOFF, T	ΓAYLOR & ZAFM	IAN LLP	
Signature	9/		·		
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	is correspondence is be	ing deposited with			the date shown below with ox 1450, Alexandria, VA
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Based on PTO/SB/21 (04-04) as modified by Blakely, Solokoff, Taylor & Zafran (wr) 06/04/2004. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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#### FRADEN EE TRANSMITTAL Complete if Known Application Number 09/692,681 for FY 2004 Filing Date October 18, 200 Effective 01/01/2004. Patent fees are subject to annual revision. First Named Inventor Hui Liu Michael J. Molinari 2 8 2004 **Examiner Name** Applicant daims small entity status. See 37 CFR 1.27. Art Unit 2665 Technolog

TOTAL AMOUNT OF PAYMENT (\$) 180	.00	Attorney (	Docke	No.	5158P003 Jechnology	Center 2900
METHOD OF PAYMENT (check all that apply)						
Check ☐ Credit card ☐ Money ☐ Other ☐ None	3.	ADDITIO	NAL	FEES		
☐ Check ☐ Credit card ☐ Order ☐ Other ☐ None  ☐ Deposit Account	Lar	rge Entity	Sma	II Entity	,	
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Account Number 02-2666	105		2051	65	Surcharge - late filing fee or oath	
Deposit	105	2 50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Account Name Blakely, Sokoloff, Taylor & Zafman LLP	205	3 130	2053	130	Non-English specification	
The Commissioner is authorized to: ( check all that apply)	181	-	1812	2,520	For filing a request for ex parte reexamination	
Charge fee(s) indicated below Credit any overpayments	180-	4 920°	1804	920 1		
Charge any additional fee(s) or underpayment of fees as required under 37					Examiner action	
CFR §§ 1.16, 1.17, 1.18 and 1.20.	180	5 1,840°	1805	1,840 `	Requesting publication of SIR after Examiner action	
Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account	125	1 110	2251	55	Extension for reply within first month	<u> </u>
FEE CALCULATION	125		2252	210	Extension for reply within second month	<u> </u>
	125	-	2253	475	Extension for reply within third month	
1. BASIC FILING FEE Large Entity Small Entity	125		2254	740	Extension for reply within fourth month	
Fee Fee Fee Fee Fee Description Fee Paid	125		2255	1,005	Extension for reply within fifth month	<u> </u>
Code (5) Code (5)				165	Notice of Appeal	<u> </u>
1001 770 2001 385 Utility filing fee	140		2401	165	Filing a brief in support of an appeal	
1002 340 2002 170 Design filing fee	140:		2402	145	Request for oral hearing	<u> </u>
1003 530 2003 265 Plant filing fee	140:		2403		•	
1004 770 2004 385 Reissue filing fee	145		2451	1,510	Petition to institute a public use proceeding	
1005 160 2005 80 Provisional filing fee	145		2452	55	Petition to revive - unavoidable	
SUBTOTAL (1) (5)	145		2453	665	Petition to revive - unintentional	
	150		2501	665	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES Extra Feetrom	150		2502	240	Design issue fee	<del></del>
Calms below Fee Paid Total Claims	150		2503	320	Plant issue fee	
Independent 27 = X	146		2460	130	Petitions to the Commissioner	
Claims 6X	180		1807		Processing fee under 37 CFR 1.17(q)	
Multiple Dependent	180		1806	180	Submission of Information Disclosure Stmt	180.00
Large Entity Small Entity	802	1 40	8021	40	Recording each patent assignment per property (times number of properties)	
Fee Fee   Fee Fee <u>Fee Description</u>   Code (\$)   Code (\$)	400	9 770		385	Filing a submission after final rejection	
1202 18 2202 9 Claims in excess of 20	180	9 //0	1809	•	(37 CFR § 1.129(a))	
1201 86 2201 43 Independent claims in excess of 3	181	0 770	2810	385	For each additional invention to be	
1203 290 2203 145 Multiple Dependent claim, if not paid					examined (37 CFR § 1.129(b))	
1204 86 2204 43 **Reissue independent claims over original	180	1 770	2801	385		
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1205 18 2205 9 **Reissue claims in excess of 20 and over original patent	Other	fee (specify)				
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SUBTOTAL (2) (\$)		ed by Basic Film	g Fee Pai	j	SUBTOTAL (3) (\$)	180.00
**or number previously paid, if greater, For Reissues, see below	<u> </u>					130.00
SUBMITTED BY					Complete (if appli	cable)
Name (Print/Type) Michael J. Mallie		Registratio (Attorney/Age		3	36,591 Telephone	
		-uorray/Age	,	Т,	,0,0,1	
Signature					<sub>Date</sub> +₹/²/••	1 J

Based on PTO/SB/17 (10-03) as modified by Blakely, Solokoff, Taylor & Zafman (wtr) 02/10/2004. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Docket No.: 5158P003

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of:

Hui Liu, et al.

pplication No.: 09/692,681

Filed: October 18, 2000

For: CHANNEL ALLOCATION IN

BROADBAND ORTHOGONAL

FREQUENCY-DIVISION

MULTIPLE-ACCESS/SPACE-DIVISION

**MULTIPLE-ACCESS NETWORKS** 

Art Group: 2665

Examiner: Michael J. Molinari

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Technology Center 2600

### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

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The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith. Please charge any additional fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Michael J. Mallie, Reg. No. 36,591

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Date

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Sheet 1 of

Complete if Known				
Application Number	09/692,681			
Filing Date	October 18, 2000			
First Named Inventor	Hui Liu			
Art Unit	2665			
Examiner Name	Michael J. Molinari			
Attorney Docket Number	5158P003			

			U.S. PATE	NT DOCUMENTS		
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-6,282,185 B1	08-28-2001	Hakkinen et al.		
		US-6,009,553	12-28-1999	Martinez et al.	DI	CEWED
		US-5,909,436	06-01-1999	Engstrom et al.	<u>ח</u>	CEIVED
		US-6,111,919	08-29-2000	Yonge III		111-0-2-2004
		US-6,545,997 B1	04-08-2003	Bohnke et al.		JUL 2 8 2004
		US-5,588,020	12-24-1996	Schilling	Took	  alaa::
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	i	US-6,141,565	10-31-2000	Feuerstein et al.		
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		US-5,280,630	01-18-1994	Wang		
		US-				

	FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document	Publication Date MM-DD-YYYY		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	т•		
Initials*	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>4</sup> (if known)		Name of Patentee or Applicant of Cited Document				
		EP 0 999 658 A2	05-10-2000	Rashid-Farrokhi et al.				
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'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

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<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

 Complete if Known

 Application Number
 09/692,681

 Filing Date
 October 18, 2000

 First Named Inventor
 Hui Liu

 Art Unit
 2665

 Examiner Name
 Michael J. Molinari

 Attorney Docket Number
 5158P003

of 2 2 Sheet NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, Examiner Cite T² symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. Initials\* No.1 MIGNONE et al., "CD3-OFDM: A Novel Demodulation Scheme for Fixed and Mobile Receivers, IEEE Transactions on Communications, Vol. 44, No. 9, September 1996 RECEIVED JUL 2-8 2004 Technology Cente 2600

Examiner	Date	
Signature	Considered	

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